JC03 Reo'd PCT/PTC

Approved for use through 3/31/2007. OMB 0651-0021
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

		ATTORNESS & Capitalys & Valid OMS control number.						
TRANSMITTAL LETTER TO	, F	ATTORNEY'S DOCKET NUMBER DB 0540						
DESIGNATED/ELECTED		U.S. APPLICATION NQ. (If known, see 37 CFR 1.5)						
CONCERNING A SUBMISSIC	N UNDER 35 U.S.C. 371	-unassigned- 90 /5230 /5						
INTERNATIONAL APPLICATION NO.	INTERNATIONAL FILING DATE	PRIORITY DATE CLAMBO & J J 8 J						
PCT/EP 03/12200	November 03, 2003	November 04, 2002						
TITLE OF INVENTION Transition Metal Catalysts For (Co)Polymerization of Olefinic Monomers								
APPLICANT(S) FOR DO/EO/US Peter PREISHUBER-PFLUEGL; Jun OK	LIDA: Valentine BEIMER: Marc Oliv	or KRISTEN						
		D/US) the following items and other information:						
		·						
1. X This is a FIRST submission of items co	nceming a submission under 35 U.S.C. 371	l						
2. This is a SECOND or SUBSEQUENT s	ubmission of items concerning a submissio	n under 35 U(S.C. 371.						
3. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.								
4. The US has been elected (Article 31).								
5. X A copy of the International Application	n as filed (35 U.S.C. 371(c)(2))	,						
a. X is attached hereto (required only if not communicated by the International Bureau).								
b. An has been communicated by	the International Bureau.							
<u> </u>	cation was filed in the United States Receiving	ng Office (RO/US).						
6. An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).								
a. X is attached hereto.								
b. has been previously submit	tted under 35 U.S.C. 154(d)(4).							
7. Amendments to the claims of the Inte	rnational Application under PCT Article 19 (35 U.S.C. 371(c)(3))						
a. are attached hereto (requi	red only if not communicated by the Internat	tional Bureau).						
b. — have been communicated	by the International Bureau.							
c. have not been made; how	ever, the time limit for making such amendn	nents has NOT expired.						
d. Li have not been made and v	will not be made.							
_ ' ' '	e amendments to the claims under PCT Art	icle 19 (35 U.S.C. 371(c)(3)).						
9. X An oath or declaration of the inventor	(s) (35 U.S.C. 371(c)(4)).							

Items 11 to 20 below concern document(s) or information included:

11.	l	An Information	Disclosure	Statement under	: 37 CFR 1 9	17 and 1 98

12. X An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.

An English language translation of the annexes of the International Preliminary Examination Report under PCT

13. X A preliminary amendment.

An Application Data Sheet under 37 CFR 1.76.

Article 38 (35 U.S.C. 371(c)(5)).

A substitute specification.

A power of attorney and/or change of address letter.

A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1,821-1,825.

A second copy of the published International Application under 35 U.S.C. 154(d)(4).

19. X A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).

Other items or information: International Search Report (copy)

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the including sate-Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450, DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Page 1 of 2

JC14 Rec'd PCT/PTO 04 MAY 2005

PTO-1390 (Rev. 02-2005)
Approved for use through 3/31/2007. OMB 0651-0021
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

U.S. APPLICATION NO Lif known, see 37 CFR 1.5)		5) INTERNATIONAL AF	INTERNATIONAL APPLICATION NO.		ATTORNEY'S DOCKET NUMBER			
unassigned U/ 5 3 5 9 4 5		PCT/EP 03/12200	PCT/EP 03/12200		DB 054036			
The following fees have been submitted						PTO USE ONLY		
21. 🔣 Bas	ic national fee			\$300	^{\$} 300.00			
If International p PCT Article 3	mination fee preliminary examin 3(1)-(4)	\$ 200.00						
All other situations					\$ 400.00			
	TOTAL OF 21, 2	2 and 23 =			\$ 900.00			
sequence li	e for specification sting or computer	and drawings file program listing fi	ed in paper over 100 sheets (a led in an electronic medium). of paper or fraction thereof.	xcluding				
Total Sheets	Extra Sheets			RATE				
48 - 100 =	/50 =			x \$250	\$			
	30.00 for furnishing late (37 CFR 1.49	\$						
CLAIMS	NUME	BER FILED	NUMBER EXTRA	RATE	\$			
Total claims	17	- 20 =		x \$ 50	\$			
Independent clai	ms 01	-3=		x \$200	\$			
MULTIPLE DEP	ENDENT CLAIM(S	S) (if applicable)		+ \$360	\$	·		
		· · · · · · · · · · · · · · · · · · ·	TOTAL OF ABOVE	CALCULATIONS =	\$			
Applicant cla	aims small entity s	tatus. See 37 CF	R 1.27. Fees above are reduc	ed by 1/4.				
				SUBTOTAL =	\$ 900.00			
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).					\$			
TOTAL NATIONAL FEE =					\$ 900.00			
	the enclosed ass e cover sheet (37	st be accompanied +	\$ 40.00					
			TOTAL F	EES ENCLOSED =	\$ 940.00			
					Amount to be refunded:	\$ 0.00		
	···				Amount to be charged:	\$ 940.00		
a. A chec	k in the amount of	r\$	to cover the abo	ve fees is enclosed.				
b. Please charge my Deposit Account No in the amount of \$ to cover the above fees. A duplicate copy of this sheet is enclosed.								
c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No14.1437 A duplicate copy of this sheet is enclosed.								
d. Eees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card Information should not be included on this form. Provide credit card information and authorization on PTO-2038.								
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.								
Customer Number Signature								
26474 JASON D. VOIGHT NAME								
4	20171							
	42205 REGISTRATION NUMBER							